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UV-42

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Edward B. Knudson et al.

Application No.: 09/034,939 Confirmation No.: 4035

Filed : March 4, 1998

For : PROGRAM GUIDE SYSTEM WITH TARGETED

ADVERTISING

Group Art Unit: 2611

Examiner : Jason P. Salce

New York, New York 10020

May 20, 2004

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

U.S.	Patent Documents	
Campbell et al.	4,536,791	08/20/85
Hey	4,996,642	02/26/91
Young	5,151,789	09/29/92
Maruoka	5,212,553	05/18/93
Strubbe	5,223,924	06/29/93
Vogel	5,253,066	10/12/93
Harvey et al.	5,335,277	08/02/94
Herz et al.	5,351,075	09/27/94
Young et al.	5,353,121	10/04/94
Banker et al.	5,357,276	10/18/94
Graves et al.	5,410,344	04/25/95
Saitoh	5,452,012	09/19/95

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Strubbe et al.	5,483,278	01/09/96
Yuen et al.	5,488,409	01/30/96
Marshall et al.	5,502,504	03/26/96
Carles	5,515,098	05/07/96
Levitan	5,534,911	07/09/96
Fite et al.	5,557,721	09/17/96
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Schulhof et al.	5,572,442	11/05/96
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Lett et al.	5,592,551	01/07/97
Florin et al.	5,594,509	01/14/97
Florin et al.	5,621,456	04/15/97
Rowe et al.	5,623,613	04/22/97
Youman et al.	5,629,733	05/13/97
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Herz et al.	5,754,939	05/19/98
Herz et al.	5,758,257	05/26/98
Ellis et al.	5,760,821	06/02/98
Alten et al.	5,781,246	07/14/98
Robinson	5,790,426	08/04/98
Hendricks et al.	5,798,785	08/25/98
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Belmont	5,819,156	10/06/98
Davis et al.	5,822,123	10/13/98
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Coleman et al.	5,844,620	12/01/98
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Furuya et al.	5,886,691	03/23/99
Ebisawa	5,886,731	03/23/99
Shoff et al.	5,900,905	05/04/99
Lawler et al.	5,907,323	05/25/99
Robinson	5,918,014	06/29/99
Klosterman et al.	5,940,073	08/17/99

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Onoe et al.	5,951,642	09/14/99
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Moraes	6,014,502	01/11/00
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Macrae et al.	6,052,145	04/18/00
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Macrae et al.	20020059602-A1	05/16/02
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Yuen et al.	09/507,626	02/17/00

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EPO	EP	0 753	964	A1	01/15/97
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PCT	WO	96/41	L <b>4</b> 78		12/19/96
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PCT	WO	98/28	3906		07/02/98
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PCT	WO	98/5	5172		12/10/98
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PCT	WO	99/0			02/11/99
PCT	WO	99/29	9109		06/10/99
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PCT	WO	00/04			01/27/00
PCT	WO	00/1			03/23/00
PCT	WO	00/3			06/08/00
PCT	WO	01/89			11/22/01
PCT	WO	02/3	L731		04/18/02

Copies of the aforementioned documents are enclosed herewith. The unpublished U.S. Patent Application Documents have not been listed on accompanying Form PTO-1449 to prevent

their Application Nos. from being printed on the face of any patent issuing from this application. The U.S. Patent Documents, U.S. Patent Application Publications, and the foreign patent documents have been listed on the accompanying Form PTO-1449 (submitted in duplicate). Applicants respectfully request that the Examiner sign the statement "All references have been considered" on the bottom of the final page of the enclosed Form PTO-1449 to indicate that the patent applications were considered (See MPEP § 609(c)).

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

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**FORM PTO-1449** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. UV-42 APPLICATION NO. 09/034,939

APPLICANTS CONFIRMATION NO. 4035

FILING DATE GROUP

2611

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

**U.S. PATENT DOCUMENTS** 

March 4, 1998

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,536,791	08/20/1985	Campbell et al.	358	122	
	4,996,642	02/26/1991	Hey	364	419	
<u> </u>	5,151,789	09/29/1992	Young	358	194.1	
	5,212,553	05/18/1993	Maruoka	358	188	•
	5,223,924	06/29/1993	Strubbe	358	86	
	5,253,066	10/12/1993	Vogel	358	188 <b>RF</b>	CFIVED
	5,335,277	08/02/1994	Harvey et al.	380	20	
	5,351,075	09/27/1994	Herz et al.	348	1 M <i>F</i>	Y 2 6 2004
	5,353,121	10/04/1994	Young et al.	348	563 Toohno	ogy Center 2600
	5,357,276	10/18/1994	Banker et al.	725	102	logy ochter 2000
	5,410,344	04/25/1995	Graves et al.	348	1	
	5,452,012	09/19/1995	Saitoh	348	563	
	5,483,278	01/09/1996	Strubbe et al.	348	563	
	5,488,409	01/30/1996	Yuen et al.	348	5	
	5,502,504	03/26/1996	Marshall et al.	348	565	
	5,515,098	05/07/1996	Carles	348	8	
	5,534,911	07/09/1996	Levitan	348	1	
	5,557,721	09/17/1996	Fite et al.	395	148	
	5,559,550	09/24/1996	Mankovitz	348	6	
	5,572,442	11/05/1996	Schulhof et al.	364	514	
	5,574,962	11/12/1996	Fardeau et al.	455	2	
	5,585,838	12/17/1996	Lawler et al.	348	13	
	5,592,551	01/07/1997	Lett et al.	380	211	
	5,594,509	01/14/1997	Florin et al.	348	731	
	5,621,456	04/15/1997	Florin et al.	725	43	
	5,623,613	04/22/1997	Rowe et al.	395	353	
	5,629,733	05/13/1997	Youman et al.	348	7	
	5,635,979	06/03/1997	Kostreski et al.	348	13	

## **EXAMINER**

#### **DATE CONSIDERED**

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTORNEY DOCKET NO. UV-42			<b>APPLICATION NO.</b> 09/034,939		
QE JC53	INFORMATIO	ON DISCLOSURE BY APPLICANTS			CANTS d B. Knuds	on et al.	CON 4035	IFIRMATION NO.
0 %	STATEMENT	BI APPLICANTS	<b>)</b>	FILING March	DATE 4, 1998		<b>GR</b> 0 2611	
PATENT & FRE	5,657,072	08/12/1997	Aristides et a		348	13		
- CATCHE	5,657,072	08/12/1997	Bertram	· · · · · · · · · · · · · · · · · · ·	348	559		
	5,663,757	09/02/1997	Morales		348	13		
	5,666,293	09/09/1997	Metz		395	200.5		
	5,666,645	09/09/1997	Thomas et a	 I	455	6.1		
	5,677,708	10/14/1997	Matthews, III		345	115		
	5,687,331	11/11/1997	Volk et al.	ot al.	395	327		
	5,754,939	05/19/1998	Herz et al.		455			YEIVED
	5,758,257	05/26/1998	Herz et al.		455	2		EIVED_
	5,760,821	06/02/1998	Ellis et al.		348	10	MAY	2 6 2004
	5,781,246	07/14/1998	Alten et al.		348	500		
	5,790,426	08/04/1998	Robinson		364	554 Tec	olonn	gy Center 2600—
	5,798,785	08/25/1998	Hendricks et	al	348	1		
	5,801,747	09/01/1998	Bedard	- CI.	348	1		
	5,801,785	09/01/1998	Crump et al.		348	563		
	5,808,694	09/15/1998	Usui et al.	· · · · ·	348	569		
	5,819,156	10/06/1998	Belmont	<u>.                                      </u>	455	2		
	5,822,123	10/13/1998	Davis et al.		348	564		****
4 · · · ·	5,828,420	10/27/1998	Marshall et a	nl.	725	47		
· · · · · · · · · · · · · · · · · · ·	5,828,839	10/27/1998	Moncreiff		395	200.34		
	5,842,199	11/24/1998	Miller et al.		707	2		
	5,844,620	12/01/1998	Coleman et	al.	348	461		
	5,850,218	12/15/1998	LaJoie et al.		345	327		
	5,886,691	03/23/1999	Furuya et al.		345	327		
	5,886,731	03/23/1999	Ebisawa		348	9		
	5,900,905	05/04/1999	Shoff et al.		348	12		
	5,907,323	05/25/1999	Lawler et al.		345	327		
-	5,918,014	06/29/1999	Robinson		395	200.49		
	5,940,073	08/17/1999	Klosterman	et al.	345	327		
	5,951,642	09/14/1999	Onoe et al.		709	224		
	5,974,222	10/26/1999	Yuen et al.		386	83		

# **EXAMINER**

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6,002,393 12/14/1999 Hite et al. 345 327 6,005,597 12/21/1999 Barrett et al. 348 1 6,006,257 12/21/1999 Siezak 709 219 6,014,502 01/11/2000 Moraes 395 200.49 6,018,372 01/25/2000 Etheredge 348 564 6,020,929 02/01/2000 Marshall et al. 348 564 6,029,045 02/22/2000 Picco et al. 345 5.1 6,064,376 05/16/2000 Berezowski et al. 348 10 6,064,376 05/16/2000 Berezowski et al. 345 327 6,064,980 05/16/2000 Jacobi et al. 705 26 RECEIVED 6,111,614 08/29/2000 Mugura et al. 348 569 6,111,614 08/29/2000 Mugura et al. 348 569 6,111,099 09/12/2000 Guyot et al. 705 10 MAY 2 6 2004 6,115,057 09/05/2000 Guyot et al. 705 14 6,160,570 12/12/2000 Gitnik 348 1 6,160,570 12/12/2000 Sitnik 348 1 6,163,316 12/19/2000 Killian 345 327 6,262,721 07/17/2001 Tsukidate et al. 345 327 6,263,501 07/17/2001 Tsukidate et al. 725 39 6,263,507 07/17/2001 Takasu 725 12 6,312,336 11/06/2001 Handfelman et al. 463 40 6,331,877 12/18/2001 Hendricks et al. 725 134 6,483,385 11/06/2001 Handleman et al. 463 40 6,331,877 12/18/2001 Bennington et al. 725 39 6,469,753 10/22/2002 Klosterman et al. 348 906 6,470,497 10/22/2002 Ellis et al. 725 39	QE JCS3	INFORMATIO	N DISCLOSURE					•	
6,002,393 12/14/1999 Hite et al. 345 327 6,005,597 12/21/1999 Barrett et al. 348 1 6,006,267 12/21/1999 Slezak 709 219 6,014,502 01/11/2000 Moraes 395 200.49 6,018,372 01/25/2000 Etheredge 348 564 6,020,929 02/01/2000 Marshall et al. 348 564 6,020,929 02/01/2000 Marshall et al. 348 564 6,029,045 02/22/2000 Picco et al. 455 5.1 6,062,145 04/18/2000 Macrae et al. 348 10 6,064,376 05/16/2000 Berezowski et al. 345 327 6,064,980 05/16/2000 Jacobi et al. 705 26 RECEIVED 6,111,614 08/29/2000 Mugura et al. 348 569 6,112,186 08/29/2000 Bergh et al. 705 10 MAY 2 6 2004 6,115,057 09/05/2000 Kwoh et al. 348 5.5 Technology Center 260 6,119,098 09/12/2000 Guyot et al. 705 14 6,157,413 12/05/2000 Hanafee et al. 348 563 6,160,570 12/12/2000 Sitnik 348 1 6,163,316 12/19/2000 Killian 345 327 6,263,501 07/17/2001 Schein et al. 725 39 6,263,501 07/17/2001 Tsukidate et al. 725 134 6,297,157 08/21/2001 Takasu 725 22 6,312,336 11/06/2001 Handelman et al. 463 40 6,331,877 12/18/2001 Bennington et al. 725 132 6,483,585 10/08/2002 Hendricks et al. 725 132 6,483,585 10/08/2002 Hendricks et al. 725 35 6,469,753 10/22/2002 Klosterman et al. 348 906 6,470,497 10/22/2002 Ellis et al. 725 39	Wh's	JATEMIENT	ST APPLICANTS						
6,005,597         12/21/1999         Barrett et al.         348         1           6,006,257         12/21/1999         Slezak         709         219           6,014,502         01/11/2000         Moraes         395         200.49           6,018,372         01/25/2000         Etheredge         348         564           6,020,929         02/01/2000         Marshall et al.         348         564           6,020,929         02/01/2000         Marshall et al.         348         564           6,029,045         02/22/2000         Picco et al.         455         5.1           6,064,376         05/16/2000         Berezowski et al.         348         10           6,064,980         05/16/2000         Jacobi et al.         705         26         RECEIVED           6,111,614         08/29/2000         Bergh et al.         705         10         MAY 2 6 2004           6,111,614         08/29/2000         Bergh et al.         705         10         MAY 2 6 2004           6,111,614         08/29/2000         Bergh et al.         705         10         MAY 2 6 2004           6,111,9098         09/12/2000         Guyot et al.         705         14         Technology Center 260 </th <th>CATEBUL STREET</th> <th></th> <th>10/14/1000</th> <th></th> <th></th> <th>0.45</th> <th>207</th> <th></th> <th></th>	CATEBUL STREET		10/14/1000			0.45	207		
6,006,257 12/21/1999 Slezak 709 219 6,014,502 01/11/2000 Moraes 395 200.49 6,018,372 01/25/2000 Etheredge 348 564 6,020,929 02/01/2000 Marshall et al. 348 564 6,029,045 02/22/2000 Picco et al. 455 5.1 6,052,145 04/18/2000 Macrae et al. 348 10 6,064,376 05/16/2000 Berezowski et al. 345 327 6,064,980 05/16/2000 Jacobi et al. 705 26 RECEIVED 6,111,614 08/29/2000 Mugura et al. 348 569 6,112,186 08/29/2000 Bergh et al. 705 10 MAY 2 6 2004 6,115,057 09/05/2000 Kwoh et al. 348 5.5 Technology Center 260 6,119,098 09/12/2000 Guyot et al. 705 14 6,157,413 12/05/2000 Hanafee et al. 348 563 6,160,570 12/12/2000 Sitnik 348 1 6,163,316 12/19/2000 Killian 345 327 6,262,721 07/17/2001 Tsukidate et al. 345 327 6,263,507 07/17/2001 Tsukidate et al. 725 39 6,263,507 07/17/2001 Takasu 725 22 6,312,336 11/06/2001 Handelman et al. 463 40 6,312,336 11/06/2001 Handelman et al. 463 40 6,312,336 11/06/2001 Handelman et al. 463 40 6,312,337 12/18/2001 Bennington et al. 725 132 6,408,437 06/18/2002 Hendricks et al. 725 132 6,408,437 06/18/2002 Hendricks et al. 725 35 6,469,753 10/22/2002 Schein et al. 725 35 6,469,753 10/22/2002 Klosterman et al. 348 906 6,470,497 10/22/2002 Ellis et al. 725 39					<del></del>				
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6,020,929 02/01/2000 Marshall et al. 348 564 6,029,045 02/22/2000 Picco et al. 455 5.1 6,052,145 04/18/2000 Macrae et al. 348 10 6,064,376 05/16/2000 Berezowski et al. 345 327 6,064,980 05/16/2000 Jacobi et al. 705 26 RECIVED 6,111,614 08/29/2000 Mugura et al. 348 569 6,112,186 08/29/2000 Bergh et al. 705 10 MAY 2 6 2004 6,115,057 09/05/2000 Kwoh et al. 348 5.5 Technology Center 260 6,119,098 09/12/2000 Guyot et al. 705 14 6,157,413 12/05/2000 Hanafee et al. 348 563 6,160,570 12/12/2000 Sitnik 348 1 6,163,316 12/19/2000 Killian 345 327 6,181,335 01/30/2001 Hendricks et al. 345 328 6,262,721 07/17/2001 Schein et al. 345 327 6,263,501 07/17/2001 Schein et al. 725 39 6,263,507 07/17/2001 Hanadelman et al. 463 40 6,331,877 12/18/2001 Bennington et al. 348 731 6,408,437 06/18/2002 Hendricks et al. 725 132 6,463,585 10/08/2002 Hendricks et al. 725 35 6,469,753 10/22/2002 Klosterman et al. 348 906 6,470,497 10/22/2002 Ellis et al. 725 39		·							
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ATTORNEY DOCKET NO. UV-42 09/034,939

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